FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPROVAL        |           |  |  |  |  |  |  |  |
|---|---------------------|-----------|--|--|--|--|--|--|--|
|   | OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |
|   | Estimated average b | urden     |  |  |  |  |  |  |  |

0.5

hours per response:

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(h)                       |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Smith Lucian T.  |   |  |  |       |                                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HOVNANIAN ENTERPRISES INC HOV |       |   |                                    |   |                               |  |             |        |  | all app       | licable)  | ng Pei  | Person(s) to Issuer  10% Owner Other (specify |   |
|--|---|--|--|-------|--------------------------------|--|-------|---|------------------------------------|---|-------------------------------|--|-------------|--------|--|---------------|---|---|---|---|
| (Last) (First) (Middle) 110 WEST FRONT STREET  |   |  |  |       |                                | 3. Date of Earliest Transaction (Month/Day/Year) 06/13/2017                      |       |   |                                    |   |                               |  |             |        |  |               | ow)<br>Chief Operat   |   | below)<br>uting Officer                       |   |
| (Street) RED BANK NJ 07701 (City) (State) (Zip)  |   |  |  |       |                                | 4. If Amendment, Date of Original Filed (Month/Day/Year)                         |       |   |                                    |   |                               |  |             |        | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |               |   |   |   |   |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |  |       |                                |  |       |   |                                    |   |                               |  |             |        |  |               |   |   |   |   |
| Date   |   |  |  |       |                                | h/Day/Year) if   |       | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                                    | 3.<br>Transaction<br>Code (Instr.<br>8) |                               | ies Acquired (A) o<br>Of (D) (Instr. 3, 4  |             |        | and Secur<br>Benef   |               | cially<br>I Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  |       |                                |  |       | Code  | v                                  | Amount                                  | (A<br>(C                      | A) or<br>D)  | Price       | . 1    | Transaction(s)<br>(Instr. 3 and 4)   |               |   |   | (111301. 4)                                   |   |
| Class A C  | ommon Sto   | 3/2017                                     | /2017  |       |                                |  |       | 1,471   | L                                  | D                                       | \$2.2                         |  | .25 189,486 |        |  | D             |   |   |   |   |
| Class A C  | 4/2017  |  |  |       |                                |  | 1,471 | L L   | D                                  | \$2.3                                   |                               | .32 188,015  |             |        | D  |               |   |   |   |   |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |  |  |       |                                |  |       |   |                                    |   |                               |  |             |        |  |               |   |   |   |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/Da | Date, | 4.<br>Transactic<br>Code (Inst |  |       |   | 6. Date E<br>Expiratio<br>(Month/D | n Date                                  |                               | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instrand 4) |             | str. 3 | 8. Pri<br>Deriv<br>Secu<br>(Instr  | ative<br>rity | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | Owners<br>Form:<br>Direct (E<br>or Indire<br>(I) (Instr.          | Ownership                                     | Beneficial<br>Ownership<br>(Instr. 4)                             |
|  |   |  | Code   |       | v                              | (A)  | (D)   | Date<br>Exercisa  | te Expiration Pate Title           |   | Amo<br>or<br>Num<br>of<br>Sha | nber   |             |        |  |               |   |   |   |   |

Explanation of Responses:

Nancy A. Marrazzo Attorney-

06/15/2017

in-Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).