FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Last)   | •  | st) (N                                     |              | 1. Name and Address of Reporting Person*  O'Connor Brad G |                              |  |        |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HOVNANIAN ENTERPRISES INC [ HOV ] |   |          |                           |   |  |   |  |  | 10% Ov<br>Other (s |  |  |
|--|--|--|--------------|---|------------------------------|--|--------|--|--|---|----------|---------------------------|---|--|---|--|--|--------------------|--|--|
| 90 MATA  |  | (First) (Middle) ROAD, FIFTH FLOOR         |              |   |                              | 3. Date of Earliest Transaction (Month/Day/Year) 01/08/2021                                |        |  |  |   |          |                           |   | X  | below<br>SV   | er (give title<br>w)<br>VP, CAO and T                  |  | below)<br>easurer  |  |  |
| (Street)  MATAWA  (City)                                   | AN NJ  |  | 7747<br>Zip) |   | 4. If <i>F</i>               | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                   |        |  |  |   |          |                           |   |  | ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |                    |  |  |
|  |  | Table                                      | I - No       | n-Deriva  | tive S                       | Secu   | rities | Acq  | uired,   | Dis   | posed of | , or E                    | Benefi  | icially  | / Own   | ed   |  |                    |  |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da |  |  |              | Execution Date,   |                              | 3.<br>Transaction Code (Instr. 8)  4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) |        |  |  | 4 and Securit   |          | ies<br>ially<br>Following | 6. Owner<br>Form: I<br>(D) or II<br>(I) (Inst | Direct<br>ndirect<br>r. 4)   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)   |  |  |                    |  |  |
|  |  |  |              |   |                              |  |        | Code   | v  | Amount  | (A) (D)  | Pri                       | ce  | Transa<br>(Instr. 3  | ction(s)  |  |  | (111341. 4)        |  |  |
| Class A Common Stock 01/08                                 |  |  |              |   | .021                         |  |        | F  |  | 100   | D        | \$3                       | 31.81   | 14,274   |   | Ι  | )  |                    |  |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |              |   |                              |  |        |  |  |   |          |                           |   |  |   |  |  |                    |  |  |
| Derivative<br>Security<br>(Instr. 3)                       | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security   | 3. Transaction<br>Date<br>(Month/Day/Year) | if any       | emed<br>ion Date,<br>/Day/Year)                           | 4.<br>Transa<br>Code (<br>8) |  |        | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |  | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amoun or Numbe of Title Shares |          | Der<br>Sec<br>(Ins        | Price of<br>rivative<br>curity<br>str. 5)     | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) |   | wnership<br>orm:<br>rect (D)<br>Indirect<br>(Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                    |  |  |

**Explanation of Responses:** 

Nancy A. Marrazzo Attorney- 01/11/2021 in-Fact

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.